

10 Rec'd PCT/PTO 16 OCT 2000

#3  
with  
feePATENT  
2345/113IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of : HUBER et al.  
International Application No. : PCT/EP98/04434  
International Filing Date : 16 July 1998  
U.S. Serial No. : 09/485,596  
For : METHOD AND CIRCUIT ARRANGEMENT  
FOR TRANSMITTING MESSAGES

Assistant Commissioner  
for Patents  
Box PCT  
Washington, D.C. 20231

Attention: DO/EO/US

**RESPONSE TO MISSING REQUIREMENTS  
UNDER 35 U.S.C. 371**

S I R :

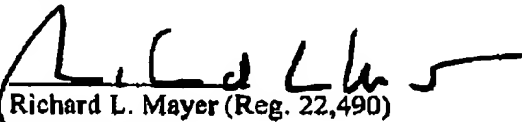
In response to the Notification of Missing Requirements Under 35 U.S.C. 371 in the United States Designated/Elected Office (DO/EO/US) (mailed April 17, 2000), Applicant submits herewith a fully executed Declaration in order to complete the filing requirements for the U.S. national phase of the above-identified PCT application. The application filed in the Patent Office is the application which the inventor executed by signing the Declaration and Power of Attorney. A copy of the Notification of Missing Requirements is enclosed.

Applicant respectfully petitions for a five month extension of time to respond to the Notification of Missing Requirements mailed April 17, 2000, for which a one month period for response was set. The five month extended period expires on October 17, 2000. Please charge the five month extension fee of \$1,890.00 to Deposit Account 11-0600. The Office is authorized to charge the \$130.00 fee to cover the surcharge for late filing of the Declaration to Deposit Account No. 11-0600.

Please charge any additional fees that may be required by this paper, to Deposit Account No. 11-0600. A duplicate of this Request is enclosed.

Respectfully submitted,  
KENYON & KENYON

Date: Oct 16, 2000

  
(Richard L. Mayer (Reg. 22,490))  
One Broadway  
New York, NY 10004  
Tel: (212) 425-7200  
Fax: (212) 425-5288

Exp. Mail EL327549718US

320879

Case No. **2345/113**Atty. **ALM**Ser. No. **09/485596**Due Date **10/16/00****PCT/EP98/04484**The Impressed Mail Room date stamp acknowledges receipt of the date indicated of: **525 Rec'd PCT/PTO 16 OCT 2000**☐ Application☒ Extension Request **(5 months)**☐ Amendment☐ Priority Document☐ Assignment☐ Issue Fee☐ Notice of Appeal☒ Declaration **+ PDA**☐ Prior Art Statement☐ Small Entity☐ Appeal Brief☒ **Deposit 11-0600****EL32734971845**

EXPRESS MAIL CERTIFICATE"EXPRESS MAIL" MAILING LABEL NUMBER EL327549718 US

DATE OF DEPOSIT

October 16, 2000

TYPE OF DOCUMENT

Response to Missing Parts

SERIAL NO.

09/485,596  
PCT/EP98/04434

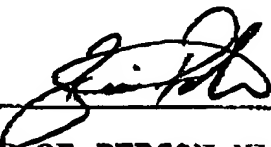
FILING DATE

July 16, 1998

I HEREBY CERTIFY THAT THIS PAPER OR FEE IS BEING DEPOSITED WITH THE UNITED STATES POSTAL SERVICE "EXPRESS MAIL POST OFFICE TO ADDRESSEE" SERVICE UNDER 37 CFR 1.10 ON THE DATE INDICATED ABOVE, BY BEING HANDED TO A POSTAL CLERK OR BY BEING PLACED IN THE EXPRESS MAIL BOX BEFORE THE POSTED DATE OF THE LAST PICK UP, AND IS ADDRESSED TO THE ASSISTANT COMMISSIONER FOR PATENTS, WASHINGTON, D.C. 20231.

BORIS POLANCO

(TYPED OR PRINTED NAME OF PERSON MAILING PAPER OR FEE)



(SIGNATURE OF PERSON MAILING PAPER OR FEE)

*Title: Method and circuit arrangement  
for transmitting messages.*



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
Address: ASSISTANT COMMISSIONER FOR PATENTS  
Box PCT  
Washington, D.C. 20231

U.S. APPLICATION NO.	FIRST NAMED APPLICANT	ATTY DOCKET NO.
09/485596	HUBER K	2345/113
INTERNATIONAL APPLICATION NO.		
PCT/EP98/04434		
I.A. FILING DATE	PRIORITY DATE	
18 JUL 98	13 AUG 97	
DATE MAILED: 17 APR 2000		

KENYON & KENYON  
ONE BROADWAY  
NEW YORK, NY 10004

**NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)**

- The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as:
  - ☐ a Designated Office (37 CFR 1.494),
  - ☒ an Elected Office (37 CFR 1.495):
  - ☒ U.S. Basic National Fee.
  - ☒ Copy of the international application in:
    - ☒ a non-English language.
    - ☐ English.
  - ☒ Translation of the international application into English.
  - ☐ Oath or Declaration of inventor(s) for DO/EO/US.
  - ☐ Copy of Article 19 amendments.
  - ☐ Translation of Article 19 amendments into English.
  - ☒ The International Preliminary Examination Report in English and its Annexes, if any.
  - ☐ Translation of Annexes to the International Preliminary Examination Report into English.
  - ☒ Preliminary amendment(s) filed 02/11/00 and \_\_\_\_\_
  - ☐ Information Disclosure Statement(s) filed \_\_\_\_\_ and \_\_\_\_\_
  - ☐ Assignment document.
  - ☐ Power of Attorney and/or Change of Address.
  - ☐ Substitute specification filed \_\_\_\_\_
  - ☐ Verified Statement Claiming Small Entity Status.
  - ☒ Priority Document.
  - ☒ Copy of the International Search Report ☒ and copies of the references cited therein.
  - ☒ Other: PCT/IB/306
- The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:
  - ☐ a. Translation of the application into English. Note a processing fee will be required if submitted later than the appropriate 20 or 30 months from the priority date.
    - ☐ The current translation is defective for the reasons indicated on the attached Notice of Defective Translation.
  - ☐ b. Processing fee for providing the translation of the application and/or the Annexes later than the appropriate 20 or 30 months from the priority date (37 CFR 1.492(f)).
  - ☒ c. Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the international application number and international filing date.
    - ☐ The current oath or declaration does not comply with 37 CFR 1.497(a) and (b) for the reasons indicated on the attached PCT/DO/EO/917.
  - ☒ d. Surcharge for providing the oath or declaration later than the appropriate 20 or 30 months from the priority date (37 CFR 1.492(e)).
- Additional claim fees of \$ \_\_\_\_\_ as a ☐ large entity ☐ small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due. See attached PTO-875.

**ALL OF THE ITEMS SET FORTH IN 2(a)-2(d) AND 3 ABOVE MUST BE SUBMITTED WITHIN ONE MONTH FROM THE DATE OF THIS NOTICE OR BY ☐ 21 OR ☒ 31 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.**

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

4. Translation of the Annexes **MUST** be submitted no later than the time period set above or the annexes will be cancelled. Note processing fee will be required if submitted later than 30 months from the priority date.

5. ☐ The Article 19 amendments are cancelled since a translation was not provided by the appropriate 20 (37 CFR 494(d)) or 30 (37 CFR 1.495(d)) months from the priority date.

Applicant is reminded that any communication to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above. (37 CFR 1.5)

**A copy of this notice *MUST* be returned with this response.**

Enclosed:

☐ PCT/DO/EO/917

☐ Notice of Defective Translation

☐ PTO-875

FORM PCT/DO/EO/905 (December 1997)

Barbara A. Campbell

Telephone: 703-306-3631

**KENYON & KENYON**

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FAX: (212) 425-5288/6101

**FACSIMILE COVER LETTER**

**FAX NO** : 1-703-305-3230

**TO** : **U.S. Patent & Trademark Office**  
**Attention: Ms. Campbell**

**FROM** : Linda M. Shudy, Reg. No. 47,084 *Quis*

**DATE** : January 9, 2001

**SUBJECT** : **U.S. Application SN 09/485,596**  
**Inventors: Huber, et al. Group: To be assigned**  
**Our Ref.: 2345/113**

**NUMBER OF PAGES INCLUDING COVER : 29**

Dear Ms. Campbell:

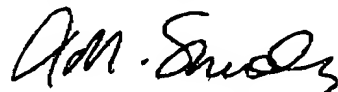
In accordance with your telephone instructions to our office today, we are faxing herewith copies of materials filed by us in the U.S.P.T.O. in October 2000 for the above-referenced matter.

The copies attached herewith are of: 1) the postcard receipt from the U.S.P.T.O. - dated October 16, 2000; 2) the express mail certificate; 3) the notice of missing parts; 4) the response to missing parts (in duplicate); 5) the executed declaration and power of attorney; and 6) the executed assignment recordation form and assignment forms.

Additionally, attached is a copy of the Supplemental Information Disclosure Statement with references mailed to the U.S.P.T.O. via U.S. First Class Mail, as certified, on October 30, 2000.

We trust that this matter is now in good order. Please contact us if we can assist further.

Sincerely,  
KENYON & KENYON



Linda M. Shudy (Reg. No. 47,084)  
on behalf of Richard L. Mayer (Reg. No. 22,490)

**IF ANY PAGES WERE NOT RECEIVED OR ARE ILLEGIBLE, PLEASE CALL (212) 425-7200 ext. 6389 AS SOON AS POSSIBLE**  
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